

# EE391D Advanced Topics in Photonics

## Light propagation in anisotropic crystals lesson 3

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# Outline

- 1 Uniaxial crystals
- 2 The index ellipsoid
- 3 Uniaxial crystals: again
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# Uniaxial crystals

$$\underline{\underline{\epsilon}} = \epsilon_0 \begin{bmatrix} \epsilon_1 & 0 & 0 \\ 0 & \epsilon_1 & 0 \\ 0 & 0 & \epsilon_3 \end{bmatrix} \quad (1)$$

To calculate the plane wave solutions that can propagate in this material, we begin from the index equation:

$$\frac{s_x^2}{n^2 - n_1^2} + \frac{s_y^2}{n^2 - n_1^2} + \frac{s_z^2}{n^2 - n_3^2} = \frac{1}{n^2} \quad (2)$$

with  $n_i = \sqrt{\epsilon_i}$ . This equation can be rewritten in the following form:

$$(n^2 - n_1^2) [n_3^2 n_1^2 - n^2 (n_1^2 s_x^2 + n_1^2 s_y^2 + n_3^2 s_z^2)] = 0, \quad (3)$$

which admits the following solutions:

# Uniaxial crystals

- $n = n_1$ . This is an ordinary wave “o”. From the field equation:

$$(n^2 - n_k^2) E_k = n^2 s_k (\hat{s} \cdot \mathbf{E}), \quad (4)$$

with  $k=1,2,3$ . we have:

- I For  $k = 1$  or  $k = 2$ , we have  $n^2 - n_k^2 = n^2 - n_1^2 = n_1^2 - n_1^2 = 0$ , which substituted into (4) leads to

$$\hat{s} \cdot \mathbf{E} = 0, \quad (5)$$

which implies  $\mathbf{E} \perp \mathbf{k}$

- II For  $k = 3$ , we have conversely:

$$(n_1^2 - n_3^2) E_z = n^2 s_z (\hat{s} \cdot \mathbf{E}) = 0, \quad (6)$$

which leads to  $E_z = 0$ .

This is a classical ordinary wave with electric field  $\mathbf{E}$  lying in the plane defined by the axis 1 and 2, and wavevector  $\mathbf{k} = \frac{\omega}{c} n_1 \hat{s}$  perpendicular to  $\mathbf{E}$ .

# Uniaxial crystals

- $n = \frac{n_1 n_3}{\sqrt{n_1^2 (s_x^2 + s_y^2) + n_3^2 s_z^2}}$ . This case yields an extraordinary wave “e”. If the wavevector  $\mathbf{k} = k[\sin \theta, 0, \cos \theta]$  lies in the plane  $(x, z)$ , we have:

$$n(\theta) = \frac{n_1 n_3}{\sqrt{n_1^2 \sin^2 \theta + n_3^2 \cos^2 \theta}}, \quad (7)$$

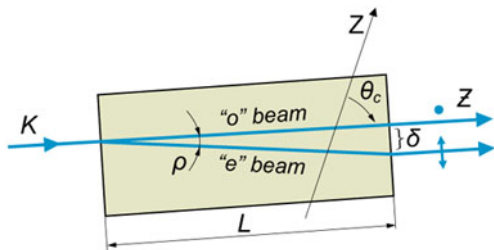
as obtained in the previous lesson. From the field equation (4), we then have the expression of the electric field of the plane wave:

$$E_k = \frac{n^2 s_k (\hat{\mathbf{s}} \cdot \mathbf{E})}{n^2 - n_k^2}. \quad (8)$$

Birefringence is observed in the refractive index  $n(\theta)$ , which changes with the direction of  $\mathbf{k}$ .

# Uniaxial crystals

In a Uniaxial crystal, for a given frequency and wavevector  $\mathbf{k}$ , we therefore have 2 plane wave solutions: one represented by an ordinary wave, and another represented by an extraordinary wave.



Advanced question: in the case of localized beams given by the superposition of collimated plane waves, such as Gaussian beams, what are the physical implications of this result?

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## The index ellipsoid

This is powerful graphical method that is extensively used. It is completely equivalent to the previous formulation. We begin by expressing the iso-energy surfaces, obtained from the dielectric energy density:

$$\mathcal{W}_e = \frac{1}{2} \mathbf{e}^T \cdot \underline{\underline{\epsilon}} \cdot \mathbf{e} = \frac{e_x^2 \epsilon_1}{2} + \frac{e_y^2 \epsilon_2}{2} + \frac{e_z^2 \epsilon_3}{2}. \quad (9)$$

By defining the following 'position' vector  $\mathbf{r} \equiv [x, y, z] = \frac{\mathbf{d}}{\sqrt{2\mathcal{W}_e}}$ , with  $\mathbf{d} = \underline{\underline{\epsilon}} \cdot \mathbf{e}$  the dielectric displacement, we obtain the **index ellipsoid** equation:

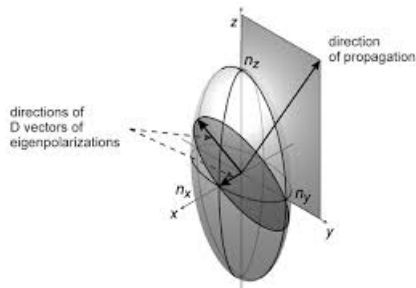
$$\frac{x^2}{n_1^2} + \frac{y^2}{n_2^2} + \frac{z^2}{n_3^2} = 1. \quad (10)$$

This equation, which describes an ellipsoid in the space defined by the position vector  $\mathbf{r}$ , can be used to find the index  $n$  and the direction of polarization of the displacement  $\mathbf{d}$  of the plane waves supported by the anisotropic material.



# The index ellipsoid

- 1 Find the intersection ellipse between a plane through the origin that is normal to the direction of wavevector  $\hat{s}$  and the ellipsoid (gray area in the figure).
- 2 The two axes of the intersection ellipse have semi-lengths  $n_1$  and  $n_2$ , corresponding to the index of the plane waves supported by the material.
- 3 The two semi-axis of the intersection ellipse are parallel to the direction of  $\mathbf{d}$  of the plane waves of the anisotropic crystal.



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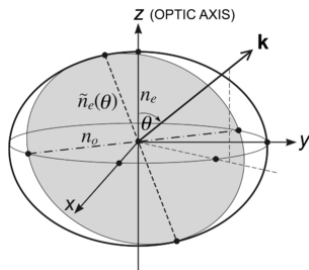
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## Uniaxial crystals: again

Exercise: study Uniaxial crystals with the index ellipsoid method. The index ellipsoid equation reads as follows:

$$\frac{x^2}{n_o^2} + \frac{y^2}{n_o^2} + \frac{z^2}{n_e^2} = 1, \quad (11)$$

with  $n_o$  and  $n_e$  representing the **ordinary** and the **extraordinary** index, respectively.

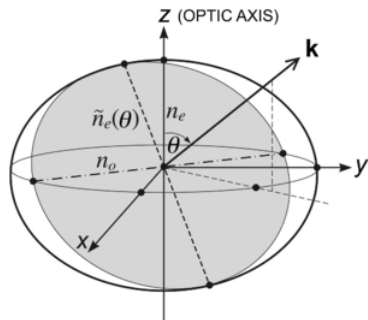


## Uniaxial crystals: again

For any direction of  $\mathbf{k}$ , one semi-axis of the intersection ellipse has always length  $n = n_o$ , corresponding to an ordinary wave, while the other is an extraordinary wave with  $n = \tilde{n}_e(\theta)$ :

$$\tilde{n}_e(\theta) = \frac{n_o n_e}{\sqrt{n_e^2 \sin^2 \theta + n_o^2 \cos^2 \theta}} \quad (12)$$

The refractive index associated to the extraordinary wave takes any value between  $n_e$  and  $n_o$ , depending on the direction of  $\mathbf{k}$ .



# Uniaxial crystals: again

Exercise: consider a Uniaxial crystal with length  $L$  along  $z$  and optic axis in the plane  $(x, y)$ . A plane wave with  $\mathbf{k}$  parallel to  $\hat{z}$  impinges on the crystal. Calculate the emerging Electric field at the output of the crystal.

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## Reference texts

- A. Yariv, *Photonics: Optical Electronics in Modern Communications* (Oxford University Press, 2006). Chapter 1
- A. Yariv, *Quantum electronics* (Wiley, 1989). Chapter 5